



Standard Test Method for Determining the Opacity of a Plume in the Outdoor Ambient Atmosphere¹

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1. Scope

1.1 This test method describes the procedures to determine the opacity of a plume, using digital imagery and associated hardware and software. The aforementioned plume is caused by particulate matter emitted from a stationary point source in the outdoor ambient environment.

1.2 The opacity of emissions is determined by the application of a Digital Camera Opacity Technique (DCOT) that consists of a Digital Still Camera, Analysis Software, and the Output Function's content to obtain and interpret digital images to determine and report plume opacity.

1.3 This method is suitable to determine the opacity of plumes from zero (0) percent to one hundred (100) percent.

1.4 Conditions that shall be considered when using this method to obtain the digital image of the plume include the plume's background, the existence of condensed water in the plume, orientation of the Digital Still Camera to the plume and the sun (see Section 8).

1.5 This standard describes the procedures to certify the DCOT, hardware, software, and method to determine the opacity of the plumes.

1.6 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

2. Referenced Documents

2.1 *ASTM Standards*:²

[D1356 Terminology Relating to Sampling and Analysis of Atmospheres](#)

¹ This test method is under the jurisdiction of ASTM Committee D22 on Air Quality and is the direct responsibility of Subcommittee D22.03 on Ambient Atmospheres and Source Emissions.

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

[E691 Practice for Conducting an Interlaboratory Study to Determine the Precision of a Test Method](#)

2.2 *U.S. Environmental Protection Agency (USEPA) Document*:³

[USEPA Method 9 Visual Determination of the Opacity of Emissions from Stationary Sources, 40 CFR, Part 60, Appendix A-4](#)

2.3 *Institute of Electrical and Electronics Engineers (IEEE) Document*:⁴

[IEEE 12207-2008 Systems and Software Engineering—Software Life Cycle Processes \(ISO/IEC 12207:2008\(E\)\), Edition: 2nd, Institute of Electrical and Electronics Engineers, 01-Feb-2008, 138 pages, ISBN: 9780738156637](#)

2.4 *Japanese Electronic and Information Technology Industries Association (JEITA) Document*:

[Exchangeable Image File Format \(EXIF\) for Digital Still Cameras Joint Photographic Experts Group: JPEG file format version 2.21, JEITA CP-3451-1 \(English version\) dated 2003-09](#)⁵

2.5 *International Organization for Standardization (ISO) Standard*:⁶

[ISO 9001:2000\(s\) Quality Management Systems – Requirements](#)

3. Terminology

3.1 *Definitions*—For definitions of terms used in this test method, refer to Terminology [D1356](#).

3.2 *Definitions of Terms Specific to This Standard*:

3.2.1 *analysis software*—software that when combined with a defined operating environment: (a) inputs images captured by the Digital Still Camera image capture devices; (b) produces opacity measurements from the combination of human

³ Available from United State Environmental Protection Agency (USEPA), Ariel Rios Bldg, 1200 Pennsylvania Ave., NW, Washington, DC 20460, <http://www.epa.org>.

⁴ Available from Institute of Electrical and Electronics Engineers, Inc., (IEEE), 1828 L St., NW, Suite 1202, Washington, DC 20036-5104, <http://www.ieee.org>.

⁵ Available from <http://www.jeita.or.jp/english/standard/list/list.asp?cateid=1&subcateid=4>.

⁶ Available from American National Standards Institute (ANSI), 25 W. 43rd St., 4th Floor, New York, NY 10036, <http://www.ansi.org>.

interaction, open or proprietary calculations and algorithms, and image content viewing; (c) and then output said opacity measurement along with Analysis Software’s configuration, image source documentation and other environmental parameters.

3.2.2 *certified*—for the purpose of this standard, certified refers to achieving or excelling the requirements described in this method.

3.2.3 *DCOT certification package*—for the purpose of this standard, certification package refers to 300 images (150 white smoke and 150 black smoke) captured against at least two different backgrounds.

3.2.4 *DCOT operator*—refers to the human operating the DCOT system who records the digital still images with the Digital Still Camera and then determines plume opacity with the Analysis Software.

3.2.5 *Digital Still Camera*—an image capture device used to collect store and forward digital still images to the Analysis Software for analysis as defined by the DCOT vendor’s certification documentation.

3.2.6 *image transfer file*—an electronic file that contains the image captured by the Digital Still Camera and its associated environment documentation that is consistent with EXIF 2.1 JPG (or higher) format and is input to the Analysis Software. All of the digital images obtained by a DCOT system shall be reviewed by a qualified human DCOT operator to assess if the digital images are acceptable (for example, no obvious errors in the digital images).

3.2.7 *opacity*—measurement of the degree to which particulate emissions reduce the intensity of transmitted photopic light and obscure the view of an object through an effluent gas stream of a given path length in ambient air.

3.2.8 *opacity source*—any source that produces emissions that are visible to the human eye.

3.2.9 *output function*—human readable information documenting the image being analyzed and configuration of the Analysis Software used, the opacity measurement and the other required environment variables defined (for example, view angle, wind direction).

3.2.10 *run*—For the purpose of this standard, run or smoke school run refers to 50 consecutive images (25 white and 25 black). Smoke schools identify Runs with a number (normally 1–10), a date, and a location. Smoke schools may allow certification between numbered runs (that is, black smoke from Run 1, and white smoke from Run 2.)

4. Summary of Test Method

4.1 A Digital Still Camera is used to capture a set of digital images of a plume against a contrasting background. Each image is analyzed with software that determines plume opacity by comparing a user defined portion of the plume image where opacity is being measured in comparison to the background providing the contrasting values. The Analysis Software is used to average the opacities from the series of digital images taken of the plume over a fixed period of time. The software is

also used to archive the image set utilized for each opacity determination including the portion of each image selected by the operator.

4.2 The following conditions must be followed to make a valid opacity determination:

4.2.1 The image must be captured in a JPEG format that adheres to the EXIF 2.1 (or higher) standard.

4.2.2 The image must be captured with the sun located behind the Digital Still Camera and within a 140° sector directly behind the Digital Still Camera (see [Table 1](#) for schematic).

4.2.3 The image must be captured perpendicular to the direction of plume travel.

4.2.4 The ambient light must be sufficient to show a clear contrast between the plume and its background.

4.2.5 The portion of the plume selected for opacity determination shall not contain condensed water vapor.

4.2.6 The selected portions of each image representing the visible plume and the uniform background must contrast sufficiently for the software to differentiate between the plume and its background.

4.2.7 The portion of the plume selected for opacity determination shall represent the part of the plume with the highest apparent opacity, excluding water vapor, as determined by the DCOT operator.

4.2.8 The area of the digital image to be analyzed for opacity shall be centered in the digital image when taking the photograph.

4.2.9 Each DCOT vendor shall provide training for operators of their DCOT system. The training shall include the content of the “Principles of Visual Emissions Measurements and Procedures to Evaluate those Emissions Using the Digital Camera Optical Technique (DCOT)” ([Annex A1](#)) and a description of how to operate that specific DCOT system that passed smoke school.

5. Significance and Use

5.1 Air permits from regulatory agencies often require measurements of opacity from stationary air pollution point sources in the outdoor ambient environment. Opacity has been visually measured by certified smoke readers in accordance with USEPA (USEPA Method 9). DCOT is also a method to determine plume opacity in the outdoor ambient environment.

5.2 The concept of DCOT was based on previous method development using Digital Still Cameras and field testing of those methods.^{7,8} The purpose of this standard is to set a minimum level of performance for products that use DCOT to determine plume opacity in ambient environments.

⁷ Du, K., Rood, M. J., Kim, B. J., Kemme, M. R., Franek, B. J., and Mattison, K., Quantification of Plume Opacity by Digital Photography, *Environmental Science and Technology*, Vol 41, No. 3, DOI: 10.1021/es061277n, 2007a, pp. 928–935.

⁸ Du, K., Rood, M. J., Kim, B. J., Kemme, M. R., Franek, B. J., Mattison, K., and Cook, J., Digital Optical Method to Quantify the Visual Opacity of Plumes in the Field, *Journal of the Air and Waste Management Association*, Vol 57, DOI:10.3155/1047-3289.57.7.836, 2007b, pp. 836–844.

TABLE 1 Example of Field Data Record when Determining Plume Opacity with DCOT

| | | |
|--|---|-------|
| Company name: Company location: Test Identification No.: Date: Type of facility: Process unit: Operating capacity or mode for process: Control device: Operational status of control device: Height of emission point and estimation method: Operator name: Operator affiliation: DCOT certification date: DCOT certified by: Camera's manufacturer, model, and serial number: | <p>Source Layout Sketch</p> <p>The diagram shows a central point labeled 'Emission point' with an 'X' above it. A vertical line extends downwards to a point labeled 'Camera's location'. A dashed horizontal line extends to the left from the camera's location, labeled 'Sun location line'. A 140-degree angle is marked between the vertical line and the dashed line. To the left, a legend box shows: 'Stack with plume' (a rectangle with a diagonal line), 'Sun' (a circle with a dot), and 'Wind' (an arrow pointing right). To the right, a circle is labeled 'Draw north arrow'.</p> | |
| | Initial | Final |
| CLOCK TIME | | |
| CAMERA LOCATION | | |
| Distance to discharge | | |
| Vertical angle of emission point to camera | | |
| Angle of sun to back of camera | | |
| Height of emission point relative to camera | | |
| ENVIRONMENTAL CONDITIONS | | |
| Background of plume | | |
| Wind direction | | |
| Wind speed | | |
| Ambient temperature | | |
| Relative humidity | | |
| Sky condition (for example, clear, partially cloudy, overcast) | | |
| PLUME DESCRIPTION | | |
| Color | | |
| Distance between discharge and location where opacity is determined | | |
| NUMBER CAPTURED IMAGES | | |
| ADDITIONAL INFORMATION | | |
| Operator signature: _____ | Date: _____ | |

6. Interferences

6.1 *Contrast*—As the contrast between the color of the plume and the background decreases, the observed opacity decreases. To achieve maximum opacity, the opacity shall be measured at a point where the maximum contrast exists between the plume and the background.

6.2 *Luminescence*—Low light levels adversely impact the determination of plume opacity. Adequate natural light must be available to illuminate the plume and background during the period the images are captured. This method shall only be used during daytime conditions.

6.3 *Steam Plumes*—Steam plumes (or condensed water vapor) cause significant errors in measuring opacity, and occur in two distinct modes as either attached plumes or detached plumes.⁹ When either condition is noted to exist, the camera operator must record sufficient images to document the type of plume observed and the relative position of the exhaust stack with relationship to the point the opacity measurement is made.

⁹ Water droplets in steam plumes will scatter light resulting in increased plume opacity until the water evaporates, and shall not be included in the determination of opacity.